

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
26 July 2001 (26.07.2001)

PCT

(10) International Publication Number
WO 01/52915 A1

- (51) International Patent Classification⁷: **A61L 27/34**, 27/54, 31/10, 31/04, 31/16
- (21) International Application Number: PCT/GB01/00281
- (22) International Filing Date: 24 January 2001 (24.01.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
00300509.7 24 January 2000 (24.01.2000) EP
- (71) Applicant (*for all designated States except US*): **BIO-COMPATIBLES LIMITED** [GB/GB]; Chapman House, Farnham Business Park, Weydon Lane, Farnham, Surrey GU9 8QL (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (*for US only*): **HUGHES, Gerald, Laurence** [IE/GB]; Biocompatibles Limited, Chapman House, Farnham Business Park, Weydon Lane, Farnham, Surrey GU9 8QL (GB). **VICK, Terrence, Albert** [GB/GB]; Biocompatibles Limited, Chapman House, Farnham Business Park, Weydon Lane, Farnham, Surrey GU9 8QL (GB). **WANG, Jin, Hai** [GB/GB]; Biocompatibles Limited, Chapman House, Farnham Business Park, Weydon Lane, Farnham, Surrey GU9 8QL (GB).
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- Published:**
- with international search report
 - before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

BEST AVAILABLE COPY

(54) Title: COATED IMPLANTS

(57) Abstract: An implant, usually a stent, has a coating of a cross-linked water swellable polymer matrix preferably having a dry thickness of at least 0.1 μm , and a pharmaceutically active compound, the polymer having pendant cationic and pendant zwitterionic groups. The active is usually an anionic compound such as a nucleic acid. The polymer is preferably formed from 2-methacryloyloxyethyl-2'-trimethylammoniummethyl phosphate inner salt, trialkylammoniumalkyl(meth)acrylate and a cross-linkable monomer such as ω -(trialkoxysilyl)alkyl(meth)acrylate, optionally with a termonomer such as a higher alkyl (meth)acrylate. The stent is coated with polymer, cross-linked then contacted with a solution or dispersion of active compound in a solvent which swells the polymer whereby the active is absorbed into the polymer matrix. The stent is delivered by usual means into a body lumen and the active is released over an extended period of time into the wall of the lumen and/or fluid flowing therein.

WO 01/52915 A1

COATED IMPLANTS

The present invention relates to implants, especially stents, primarily for introduction into blood vessels, but which are also useful for introduction into other body lumens, which have a coating of a biocompatible hydrogel polymer, used as a reservoir from which drugs are delivered direct to the wall of the vessel in which the stent is positioned.

In our earlier WO-A-0101957 which was not published at the priority date hereof, we describe stents with coatings of biocompatible crosslinked polymers, which are swollen in drug containing solutions immediately before delivery into a patient. Drug is absorbed into the swollen hydrogel, and is released over extended periods of time from the implanted stent. The system described in those applications has been approved for marketing in Europe for use with drugs having molecular weights up to 1200D. Examples of such drugs include dipyridamole, dicloxacillin, vitamin B12 and angiopeptin.

In our earlier application number WO-A-98/22516, we describe polymers formed from ethylenically unsaturated monomers including a cationic monomer and a zwitterionic monomer, useful for providing biocompatible coatings on various substrates. The cationic polymer attracts anionic mucopolysaccharide. A stent coated with the polymer may be used as a scavenging device to remove systemic heparin from the circulation of a patient. Alternatively, it is suggested that the device may be preloaded with, for instance, heparin to allow extended release of the drug into a circulation from an implanted stent.

In EP-A-0809999, heparin is covalently bound to a stent using the Carmeda CH5 heparin coating system.

Angiogenic compounds have been delivered from stents to treat stenotic lesions. In WO-A-97/47253, for instance, angiogenic compounds are delivered following radiation treatment of the heart. Delivery may be from a stent coated with a polymer.

In US-A-5954706 an anionic hydrogel is coated on to a stent, a monovalently cationic compound, such as a benzalkonium compound, is

coated over the hydrogel and heparin is contacted and electrostatically bound to the cationic compound.

Sense and anti-sense DNA have been delivered from stents, for instance in WO-A-98/15575. The DNA may encode an angiogenic protein.

5 In US-A-5674192 nucleic acids and monoclonal antibodies delivered by squeezing them from a swollen hydrogel coating on a balloon catheter. The nucleic acids may be antisense oligonucleotides or viral vectors. The hydrogel may be a polycarboxylic acid such as polyacrylic acid. Nucleic acid is delivered to cells of the vessel wall.

10 A new implant according to the invention has a coating on its external surface comprising:

a) a crosslinked, water swellable polymer matrix having a dry thickness of at least 0.1 μm , and

b) a pharmaceutically active compound

15 in which the polymer has pendant zwitterionic groups and pendant cationic groups.

The implant is preferably a stent.

The invention is of particular utility for delivering pharmaceutically active compounds which are anionic under physiological conditions. The invention is also of particular value for higher molecular weight active compounds, especially having molecular weights of more than 1000D, more preferably more than 1200D, for instance 5000D or more.

The pharmaceutical active may be a protein, for instance an antibody or fragment thereof. Such compounds are usually and preferably in this invention anionically charged in physiological environments. The invention is of particular value for active compounds comprised of nucleic acids. The nucleic acids may be DNA or RNA, and may be linear or circular, single or double stranded. The nucleic acid may encode a pharmaceutically useful polypeptide or protein, or it may be an anti-sense oligo-nucleotide, used to control the gene of interest in the cell to which the nucleic acid is delivered. A nucleic acid encoding a useful polypeptide or protein may include control regions, or other sequences to allow expression of the gene and/or its

delivery into the cell and/or transport of the protein to its target. Oligo nucleotides conjugated to other actives eg for targeting purposes, are also usefully delivered by this invention.

One particularly interesting class of gene delivered by the invention
5 encodes angiogenic factors, such as vascular endothelial growth factors or fibroblast growth factors, or platelet derived growth factors. Suitable control sequences may direct expression in smooth muscle cells, specifically. For instance the control sequences may be as described in WO-A-98/15575. Oligonucleotides which may be used in the present invention have, for
10 instance, at least 5 bases, preferably at least 15 bases.

According to a second aspect of the invention, a new implant has a coating on its external surface comprising:

- a) a crosslinked, biostable polymer matrix and
 - b) a pharmaceutically useful nucleic acid,
- 15 in which the polymer has pendant zwitterionic groups and pendant cationic groups.

According to a third aspect of the invention, a new implant having a coating on its external surface comprising:

- a) a cross-linked, biostable polymer and
- 20 b) a pharmaceutically active compound which is a protein which is anionically charged at physiological pH in which the polymer has pendant zwitterionic groups and pendant cationic groups.

In the second and third aspects it is preferred, though not essential for the polymer matrix to have a dry thickness of at least 0.1 μm .

25 Furthermore it is preferred but not essential for the polymer matrix to be water swellable. We have found that anionic actives such as nucleic and proteins, especially those having molecular weights above 1KD, primarily become adsorbed at the surface of a polymer having cationic groups and zwitterionic groups, with little being absorbed into the body of the polymer.
30 For this reason the swellability and the thickness are of lesser importance than in the first aspect.

The implant is preferably a stent. In the rest of this specification the device is described in terms of stents, but it will be understood other implants may be substituted for stents.

The crosslinking of the polymer matrix stabilises the coating on the stent, rendering it biostable. Adjustment of the crosslink density provides some control over the extent to which the polymer swells in a swelling solvent, generally aqueous in nature. The crosslink density furthermore effects the pore size of the polymer matrix. It is believed that the pore size, in turn, effects the maximum molecular size of pharmaceutically active compounds which may be absorbed into the matrix which is of particular relevance to the first aspect of the invention. It is preferred that the polymer be formed from ethylenically unsaturated monomers including less than 20% by mole of crosslinkable monomer.

The polymer used in the present invention is preferably formed from ethylenically unsaturated monomers including

- a) a zwitterionic monomer of the formula I

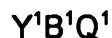


wherein B is a bond or a straight or branched alkylene, alkylene-oxa-alkylene or alkylene-oligooxa-alkylene group, any of which optionally include one or more fluorine substituents;

X is an organic group having a zwitterionic moiety; and

Y is an ethylenically unsaturated polymerisable group;

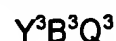
- b) a cationic monomer of the formula II



wherein B¹ is a bond or a straight or branched alkylene, alkylene-oxa-alkylene or alkylene-oligooxa-alkylene group, any of which optionally includes one or more fluorine substituents;

Y¹ is an ethylenically unsaturated polymerisable group; and

Q is an organic group having a cationic or cationisable moiety and C a crosslinkable monomer having the general formula IV:



IV

wherein B^3 is a bond or a straight or branched alkylene, alkylene-oxa-alkylene or alkylene-oligooxa-alkylene group, any of which optionally includes one or more fluorine substituents;

Y^3 is an ethylenically unsaturated polymerisable group; and

5 Q^3 is an organic group having a reactive group capable of cross-linking the polymer.

Preferred reactive comonomers IV which are used to crosslink the comonomer, are those in which Q^3 contains a crosslinkable cinnamyl, epoxy, -CHOHCH₂Hal (in which Hal is a halogen atom), methylol, reactive silyl, an
10 ethylenically unsaturated crosslinkable group, such as an acetylenic, diacetylenic, vinylic or divinyl group, or an acetoacetoxy or chloroalkyl sulfone, preferably chloroethyl sulphone, group. For optimum cross-linking a monomer including a reactive silyl group (eg a group -SiR⁴₃ in which each R⁴ is a C₁₋₄ alkoxy group or a halogen atom) is used in combination with a
15 further monomer including a hydroxyl group, eg having the formula IV'



in which Y^3 and B^3 are as defined in compound IV and Q^4 is a hydroxyl group.

The ethylenically unsaturated monomers from which the polymer is
20 formed may further include a termonomer of the formula III



wherein B^2 is a bond or a straight or branched alkylene, alkylene-oxa-
25 alkylene or alkylene-oligooxa-alkylene group, any of which may optionally include one or more fluorine substituents;

Y^2 is an ethylenically unsaturated polymerisable group; and

Q^2 is an organic group having a hydrophobic group selected from alkyl groups having at least six carbon atoms, fluorine substituted alkyl
30 groups and alkyl groups having at least one siloxane substituent.

For optimum film-forming and ability to coat hydrophobic surfaces, the polymers preferably contain more than 10% by mole, more preferably more than 20% by mole such termonomer.

The polymers may include diluent comonomer. Such diluent
 5 comonomer may be used in quantities up to 90 mol%, usually less than 50 mol%. Copolymerisable nonionic monomers may be used such as C₁₋₂₄ alkyl(meth)acrylates, and -(meth)acrylamides, and hydroxy C₁₋₂₄ alkyl(meth)acrylates and -(meth)acrylamides.

In each of the monomers I to IV the ethylenically unsaturated group is
 10 preferably selected from CH=CH-(C₆H₄)-K-, CH₂=C(R)C(O)-A-, CH₂=C(R)-CH₂-O-, CH₂=C(R)-CH₂OC(O)-, CH₂=C(R)OC(O)-, CH₂=C(R)O- and CH₂=C(R)CH₂OC(O)N(R¹)- wherein:

R is hydrogen or a C₁-C₄ alkyl group;

15 A is -O- or -NR¹- where R¹ is hydrogen or a C₁-C₄ alkyl group or R¹ is -B-X, B¹Q¹, B²Q² or B³Q³, as the case may be, where B, B¹, B², B³, Q¹, Q² and Q³ and X are as defined above in the respective one of the formula I to IV and

K is a group -(CH₂)_pOC(O)-, -(CH₂)_pC(O)O-,
 20 -(CH₂)_pOC(O)O-, -(CH₂)_pNR²-, -(CH₂)_pNR²C(O)-,
 -(CH₂)_pC(O)NR²-, -(CH₂)_pNR²C(O)O-, -(CH₂)_pOC(O)NR²-,
 -(CH₂)_pNR²C(O)NR²-, (in which the groups R² are the same or different) -
 (CH₂)_pO-, -(CH₂)_pSO₃ -, or, optionally in combination with B, a valence bond and p is from 1 to 12 and R² is hydrogen or a C₁-C₄ alkyl group.

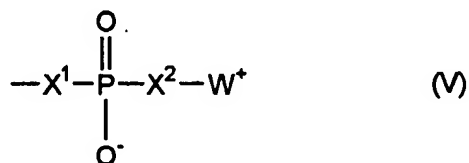
25 Preferably the ethylenically unsaturated groups of all monomers copolymerised together are either the acrylate type or are the styrene type (CH₂=C(R)C(O)A- or CH=CH-(C₆H₄)-K-), and, most preferably each has the same formula. Preferably the groups A of acrylate type ethylenically unsaturated groups of the zwitterionic, cationic and cross-linkable monomer
 30 and any termonomer are the same and are most preferably all -O-.

The zwitterionic group X preferably has a phosphate ester group as the anion or the thioester analogue or amide analogue or a phosphonate.

The cationic moiety is preferably a quaternary ammonium group, but may be a sulphonium or phosphonium group. Preferably the cationic group is at the end of the group X distant from the group B.

Preferably X is a group of formula V

5



- 10 in which the moieties X^1 and X^2 , which are the same or different, are -O-, -S-, -NH- or a valence bond, preferably -O-, and W^+ is a group comprising an ammonium, phosphonium or sulphonium cationic group and a group linking the anionic and cationic moieties which is preferably a C_{1-12} -alkylene group.

Preferably W contains as cationic group an ammonium group, more
15 preferably a quaternary ammonium group.

The group W^+ may for example be a group of formula $-\text{W}^1-\text{N}^+\text{R}^6_3$, $-\text{W}^1-\text{P}^+\text{R}^7_3$, $-\text{W}^1-\text{S}^+\text{R}^7_2$ or $-\text{W}^1-\text{Het}^+$ in which:

W^1 is alkylene of 1 or more, preferably 2-6 carbon atoms optionally containing one or more ethylenically unsaturated double or triple bonds,
20 disubstituted-aryl, alkylene aryl, aryl alkylene, or alkylene aryl alkylene, disubstituted cycloalkyl, alkylene cycloalkyl, cycloalkyl alkylene or alkylene cycloalkyl alkylene, which group W^1 optionally contains one or more fluorine substituents and/or one or more functional groups; and either

the groups R^6 are the same or different and each is hydrogen or alkyl
25 of 1 to 4 carbon atoms, preferably methyl, or aryl, such as phenyl or two of the groups R^6 together with the nitrogen atom to which they are attached form a heterocyclic ring containing from 5 to 7 atoms or the three groups R^6 together with the nitrogen atom to which they are attached form a fused ring structure containing from 5 to 7 atoms in each ring, and optionally one or
30 more of the groups R^6 is substituted by a hydrophilic functional group, and

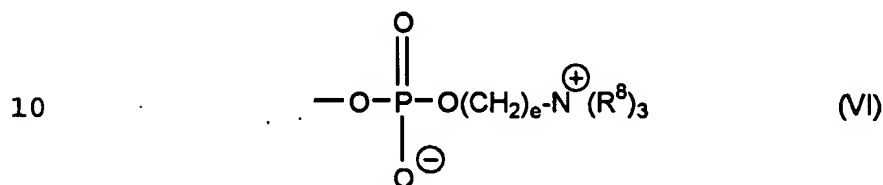
the groups R^7 are the same or different and each is R^6 or a group OR^6 , where R^6 is as defined above; or

Het is an aromatic nitrogen-, phosphorus- or sulphur-, preferably nitrogen-, containing ring, for example pyridine.

Preferably W^1 is a straight-chain alkylene group, most preferably 1,2-ethylene.

5 Preferred groups X of the formula V are groups of formula VI.

The groups of formula (VI) are:



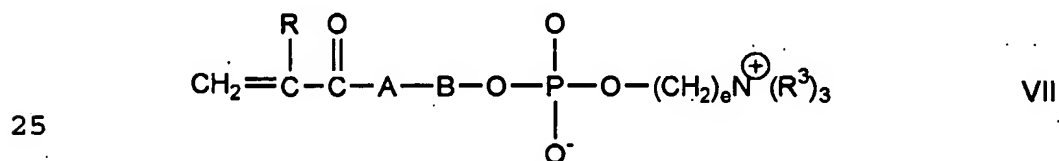
15 where the groups R^8 are the same or different and each is hydrogen or C_{1-4} alkyl, and e is from 1 to 6.

Preferably the groups R^8 are the same. It is also preferable that at least one of the groups R^8 is methyl, and more preferable that the groups R^8 are all methyl.

Preferably e is 2 or 3, more preferably 2.

20 When X is a group of formula (VI) preferably B is a group of formula $-(\text{CR}^9)_2-$ or $-(\text{CR}^9_2)_2-$, eg. $-(\text{CH}_2)-$ or $-(\text{CH}_2\text{CH}_2)-$.

Preferably the zwitterionic monomer has the general formula VII



wherein R, A and B are defined above,

30 the groups R^3 are the same or different and each is hydrogen C_{1-1} alkyl, aryl, alkaryl, aralkyl, or two or three of the groups R^3 with the nitrogen atom to which they are attached form a saturated or unsaturated hetero cyclic ring, and e is 1 to 6, preferably 2 to 4.

A cationisable moiety in the group Q^1 is generally a group which can easily be protonated to render it cationic, for instance which is protonated in aqueous environments at pH7.

The group Q^1 of the cationic monomer is preferably a group $N^+R^5_3$, $P^+R^5_3$ or $S^+R^5_2$

in which the groups R^5 are the same or different and are each hydrogen, C_{1-4} -alkyl or aryl (preferably phenyl) or two of the groups R^5 together with the heteroatom to which they are attached from a saturated or unsaturated heterocyclic ring containing from 5 to 7 atoms. Preferably the group Q^1 is permanently cationic, that is each R^5 is other than hydrogen. Preferably Q^1 is $N^+R^5_3$ in which each R^5 is C_{1-4} -alkyl, preferably methyl.

The relative ratios (equivalents) of zwitterionic to cationic pendant groups in the molecule of zwitterionic to cationic monomer in the range 1:100 to 100:1 (zwitterionic to ionic) preferably 1:10 to 10:1, more preferably 1:2 to 2:1.

Generally the polymers including the crosslinkable groups are coated onto the stent and crosslinked after coating. Crosslinking is generally by heating, optionally in the presence of moisture, for instance at least 40°C, preferably at least 60°C, for instance around 70°C.

The pharmaceutically active compound may be loaded into the polymer matrix by being co-coated from a composition containing both the compound and cross-linkable polymer. Alternatively, and preferably, the drug is absorbed into or absorbed onto the matrix after the polymer is coated onto the stent and crosslinked thereon. This loading step is carried out by contacting the coated stent, optionally following a pre-swelling step, with a solution or dispersion of the pharmaceutically active compound in a solvent which is capable of penetrating the polymer matrix. Usually the solvent for the pharmaceutical active is a solvent which swells the polymer. Suitable loading compositions comprise water, and may additionally or alternatively comprise an alcohol. The solvent may be removed from the swollen matrix containing pharmaceutical active, but may alternatively be retained in the polymer, for instance during storage or by immediately delivering the stent to the patient.

Loading conditions are determined to achieve adequate loading of active. The temperature is selected so as to create suitable properties on

the polymer. It is usually between 0 and 60°C, preferably room temperature to about 40°C, for instance 20 to 40°C, most preferably around 37°C. The solution or dispersion may contain solvents selected so as to achieve appropriate fluidity at the loading temperature.

5 The stent may be sterilised before or after loading, for instance by gamma-irradiation.

 In order to provide adequate loading of drugs, it is preferred for the coating polymer to be at least 0.5µm, more preferably at least 1 µm, thick (dry thickness). Optionally it is at least 2 µm thick. The polymer coating and
10 the pharmaceutically active compound may be coated only onto the outside of the stent, from which the pharmaceutical active is delivered directly into the wall of the vessel in which the stent is implanted. Preferably, however, the stent is provided with an overall coating of polymer, so that the luminal surface of the stent is also provided with a coating, which may be thicker or,
15 preferably, is thinner than the external coating.

 The stent may be a shape-memory metal stent, a self-expanding stent or a balloon expandable stent. For instance, a self-expanding stent may be a rolled sheet device or a braided device. Most conveniently the stent is an etched tube balloon-expandable stent. The stent may be loaded with drug in
20 the invention when mounted on its delivery device. An overcoat of zwitterionic polymer may be applied over the defined coating to control release in use.

 Figure 1 shows the results of Example 2;
 Figure 2 and 3 show the results of Example 4a;
25 Figures 4 to 9 show the results of Example 5;
 Figures 10 and 11 show the results of Example 6; and
 Figures 12 and 13 show the results of Examples 7 a and b;
 Figure 14 shows the results of Example 8b;
 Figures 15 and 16 show the results of Example 7c;
30 Figure 17 shows the results of Example 9;
 Figure 18 shows the results of Example 4b;
 Figure 19 shows the results of Example 8a;

Figures 20 to 22 show the results of Example 3.

The following examples illustrate the invention.

Abbreviations

MPC: 2-methacryloyloxyethyl-2'-trimethylammonium ethyl phosphate

5 LM: lauryl methacrylate

HPM: hydroxypropyl methacrylate - (70% 3-hydroxypropyl:30% 2-hydroxy-1-methylethyl)

XL: 3-(trimethoxysilyl) propylmethacrylate

CM: choline methacrylate

10 DMA: dimethylacrylamide

The monomer ratios are on a weight basis based on the amount of monomers used in the polymerisations.

Example 1

15 The objective was to compare the loading of a single stranded oligonucleotide (ASON, *c-myc*) onto polymer variants to assess the importance of hydrophobicity, hydrophilicity, crosslinking density and level of cationic charge upon the ASON loading of polymer film.

The following drugs/compounds were evaluated and compared:

- (i) Antisense – single-stranded oligonucleotide (ASON)
- 20 (ii) Dipyridamole - anti-platelet drug
- (iii) FITC labelled Dextran

Polymers systems were based upon two types:

(1) Reference

- | | | |
|--------|--------------------------|---|
| 1.1 | Polymer of EP 99304140.9 | MPC ₂₉ LM ₅₁ HPM ₁₅ XL ₅ |
| 25 1.2 | Hydrophobic variant | MPC ₁₅ LM ₆₅ HPM ₁₅ XL ₅ |
| 1.3 | Hydrophilic variant | MPC ₄₅ LM ₃₅ HPM ₁₅ XL ₅ |
| 1.4 | Increased crosslinker | MPC ₃₀ LM ₅₀ HPM ₁₀ XL ₁₀ |
| 1.5 | Polymer of EP 99304092.2 | MPC ₃₇ LM ₆₃ |

(2) Invention

- | | | |
|--------|---------------------|---|
| 30 2.1 | Standard | MPC ₂₉ LM ₅₀ CM ₅ HPM ₁₂ XL ₄ |
| 2.2 | Charge increase | MPC _{21.5} LM _{42.5} CM ₂₀ HPM ₁₂ XL ₄ |
| 2.3 | Hydrophilic variant | MPC ₄₈ LM ₃₀ CM ₆ HPM ₁₂ XL ₄ |

2.4	Lower crosslinker	$\text{MPC}_{25}\text{LM}_{50}\text{CM}_6\text{HPM}_{14}\text{XL}_2$
2.5	Hydrophilic polymer	$\text{MPC}_{28}\text{LM}_{20}\text{CM}_6\text{HPM}_{12}\text{XL}_4\text{DMA}_{30}$
2.6	High cationic	$\text{MPC}_{18}\text{LM}_{31}\text{CM}_{35}\text{HPM}_{12}\text{XL}_4$

Polymer Preparation

5 All polymers with the exception of polymer 1.1 were prepared by the pumped feed process as discussed in WO98/22516. The monomers in isopropanol solution, were pumped to a refluxing blend of isopropanol and isopropyl acetate (bp 83°C), over two hours using AIBN as the initiator.

Coating of steel strips

10 Steel strips (1cm x 1.5cm) were cleaned in dichloromethane for 10 minutes using the ultra-sonics bath. 200ul of a 50mg/ml polymer solution was evenly coated onto the steel strips. For each polymer sample seven steel strips were coated. Coated steel strips were then dried overnight in a oven at 70°C to cure the polymer films.

15 Loading of polymer with drug

Six of the coated steel strips were placed polymer side down into a well containing a 1mg/ml drug solution. One control sample was not loaded with drug. The steel strips were submerged for 1 hour. The steel strips were then removed from the well and the excess drug removed by dabbing the
20 surface very gently with tissue. The steel strips were then allowed to dry for a further half hour at room temperature. Each sample was then dipped quickly in clean PBS solution to remove excess drug from the coating. (3 secs dip). Each steel strip was then placed in 3ml of PBS solution contained in vials. Each vial was then sonicated for 20mins at a bath
25 temperature of 35°C in order to extract the drug from the polymer coating. Each solution was then measured using fluorescence spectroscopy to determine the amount of drug uptake

Note- In the case of dipyridamole a 50:50 PBS/Ethanol solution was used instead of PBS because of drug solubility. Samples were extracted into
30 a total of 60ml PBS/Ethanol solution and not 3ml of PBS in the case of ASON and FTIC-Dextran.

Example 1:1 Loading of polymer films with ASON**Table 1 - Fluorescence readings (Excitation 500nm Emission 525nm)**

Polymer Type	Drug Uptake (μg)
1.2	0.0
1.3	0.0
1.1	0.0
1.4	0.0
2.1	1.8
2.2	1.6

Initial results for 1.1 and 1.2 polymer variants loaded with ASON indicated that polymer 1.1 does not take up any ASON whilst polymer 2.1 takes up a small quantity of the drug. The loading of ASON into polymer 2.1 would first appear to be associated with the presence of a charge on the polymer. The results also show that when the charge level is increased the polymer coating does not seem to take up further amounts of drug. The main benefit of charge increase is to slow down the release rate of active compound (ASON).

The uptake of ASON in polymer 2.1 may be due to the interactions of the negative charge associated with the ASON molecule and the positive charge associated with the presence of Cm in the polymer coating.

Samples 2.1 and 2.2 were subjected to a further one-hour sonication to determine if any more ASON was released. The results showed no difference to those observed after 20 minutes indicating that 1.6 and 1.8 μg was probably the total loading.

Example 1:2

Further work was carried out to investigate if the ASON molecule was too large to penetrate into the polymer coatings by assessing drug uptake vs film thickness. The aim of the experiment was to indicate if ASON penetration of the polymer had occurred.

Steel strips were coated with various amounts of 2.1 and 1.1 polymer at 200, 500, and 800 μl of a 50mg/ml polymer stock solution. Each steel strip was then loaded with ASON by the method previously described. The results obtained are shown in Table 2.

Table 2

Polymer Type	Polymer Quantity μl	Drug Uptake (μg)
1.1	200	0.0
1.1	500	0.0
1.1	800	0.0
2.1	200	3.8
2.1	500	6.3
2.1	800	9.8

Results showed that the polymer with no overall charge does not appear to absorb ASON whereas the cationic polymer does. It would appear that the uptake of drug in the case of the cationic polymer is related to the polymer volume not just surface area.

Example 1:3

The observations so far have indicated that ASON was only absorbed by cationic type polymers. The next approach was to study the effects of hydrophobicity, hydrophilicity and crosslinking densities of such polymers to determine if changes in the polymer network would increase the loading of the ASON. The results obtained for 200ul coatings are shown in Table 3.

Table 3

Polymer Type	Drug Uptake (μg)
2.3	2.4
2.4	8.3
2.5	0.0
2.1	5.4

The results obtained confirmed previous findings in that the cationic polymer does absorb ASON but that changes in polymer composition do not seem to increase the degree of uptake in the polymer coating with the exception of a lower crosslinking polymer system. The hydrophilic copolymer may not be optimised in terms of coating property, resulting in its apparent failure to absorb drug.

Example 1:4: Effect of Drug Molecular Weight on the Amount loaded

In order to study the effect of drug molecular weight on the amount loaded into a polymer coating, a compound with a variety of molecular weights which could be detected by fluorescence had to be identified. One such compound was Fluorescein IsoThiocyanate Dextran (FITC-Dextran). This is a neutral compound.

FITC-Dextran is a simple polysaccharide and is available in a range of molecular weights i.e. 4400D, 9500D, 19500D, 42000D, and 77000D. The size of these compounds are similar to ASON which has a molecular weight of 5000D.

The experiment indicated that the FITC-Dextran (mwt 4400) could not be detected in either polymer coatings. This observation that polymer 2.1 does not absorb any dextran, indicates the importance and requirement of a negative charge on the molecule for uptake into and onto the polymer 2.1 coating.

As previously seen polymer 1.1 does not take up compounds/drugs with molecular weights >4400D.

Example 2 - Double stranded Oligonucleotides (DSO) (Mol wt 10000D)

BiodivYsio stents were coated on the automated stent coating rig, which dips and removes the stent into the solution several times with intermediate drying, using the following conditions.

Polymer	25 mg/ml in ethanol
Number of dips	x5
Air pressure (vacuum)	6 bar
Dip speed	5 mm/s (25 V on power pack)
Drying time under vacuum	2 minutes per dip cycle

Stents were then cured at 70°C overnight.

Loading Studies

The stents were loaded with DSO. By immersion in a well containing DSO at a concentration of 1mg/ml in phosphate buffered saline (PBS). Each stent was loaded for 1 hour. Once the loading was complete the stent

was removed from the well and the excess DSON removed by dabbing the stent very gently with tissue.

The stents were placed in PBS (2 ml) and sonicated for 1 hour to remove the absorbed/adsorbed DSON from the stents. Two methods were used to confirm the quantity of material loaded.

(1) Fluorescence (Ex500nm/Em525nm)

Total Drug Loading

2mg/ml Solution (DSON in Water) : 4µg

1mg/ml Solution (DSON in Water/PBS 50:50) : 5µg

10 Repeat study - 1mg/ml Solution (DSON in Water/PBS 50:50) : 6µg

(2) UV (@258nm)

Total Drug Loading

1mg/ml Solution (DSON in PBS): 16µg (before filtration)

1mg/ml Solution (DSON in PBS): 12µg (after filtration)

15 The values obtained for the total loading were obtained after placing the loaded stent into a sonication bath for about an hour. Results do indicate that upon sonication some of the polymer coating may be removed from the stent and thus may give rise to much larger values than one would expect, hence it was felt necessary to filter the solutions before analysis. The graph in Figure 1 shows release of oligonucleotides from PC Coated BiodivYsio Stents.

The BiodivYsio stents were loaded with ASON and DSON from a 1 mg/ml in PBS (50:50 water:PBS). The uptake onto a 15 mm BiodivYsio Stent coated with polymer 2.1 at approx 1-2 µm was:

25 ASON – 5.3 µg (10 mg/ml – 52 µg)

DSON – 5-10 µg

The elution into PBS was determined as shown above.

This study investigating the loading and release of ASON was repeated using gamma irradiated polymer 2.1 coated 15 mm BiodivYsio stents. The results were the same as for the non-gamma irradiated polymer coated stents. This indicates that there is no change in the ability of the polymer to absorb/adsorb compounds after irradiation.

Example 3 - Plasmid DNA

The aim of this study plan was to determine the loading and drug release profiles of plasmid DNA (MW about 50 kDa) from polymer coated discs.

5 Steel discs were cut with a diameter of 13 mm. Each disc was thoroughly cleaned. The discs were then dried in air for 2 hours. A volume of 20 μ l of polymer 2.2 or 1.1 coating solution (15 mgm^{-1}) was added to one side of each disc and allowed to dry for 2 hours. The reverse side of each disc was then coated in the same way. The coated discs were thermally
10 cross-linked at 70°C for 4 hours. Additionally steel discs with no coating were studied for comparison.

A volume of 20 μ l DNA-plasmid solution ($2.325 \mu\text{g}/\mu\text{l}$) was added to one side of each disc. The discs were allowed to dry for 3 hours. This procedure was repeated on the other side.

15 Some of the discs were overcoated. This was carried out by spray coating the polymer coated and loaded discs with a 20 mg/ml solution of polymer 1.5 in ethanol.

The loaded discs were placed in 2 ml of PBS. After a given period of time 1 ml of the solution was withdrawn and placed into a plastic vial. The 1
20 ml of solution was replaced with 1 ml of fresh PBS. This procedure was repeated at different time intervals. The DNA is quantified using a uv/vis spectrophotometer. The release data are represented graphically (see figures 20-22). Figure 20 shows the release of the DNA-plasmid from the two coatings both with and without overcoating. The data is expressed in
25 terms of percentage lost over time. Approximately 90-100 μg of DNA-plasmid was loaded onto each disc. Figure 21 again shows the release of the DNA-plasmid from the two coatings both with and without overcoating. The data is expressed in terms of actual amounts of DNA-plasmid lost from the disc. Figure 22 shows similar results but shows the release from
30 uncoated steel discs compared to polymer coated discs.

The DNA-plasmid seems to release more slowly from the cationic polymer coating 2.2, than the neutral coating (polymer 1.1). There seems to

be a significant amount of DNA-plasmid on the polymer 2.2 coating after 60 minutes with the very little remaining on the polymer 1.1 coating after this time.

On the polymer 2.2 coated steel discs there is a significant amount of DNA-plasmid remaining after several hours which is probably material which interacts strongly with the positively charged surface. There is little difference between the rate and overall elution from the polymer 1.1 coating compared to uncoated steel discs. The overcoating of the plasmid reduces the overall rate of elution for both polymer types.

Example 4 - Heparin loading and release using coated BiodivYsio stents

a) Polymer 2.1 coated stents

This study investigated the ability of polymer 2.1 coated stents to take up and release a sample of unfractionated heparin with an average molecular weight of approx. 10000D.

Loading was carried out by immersion of the coated stents into a solution of heparin in PBS. A range of conditions were used to investigate the loading onto the stents.

Table 4

Heparin concentration for loading / mg ml ⁻¹	Loading time / min	Volume of PBS for releasing	Tmax* / min	Wmax** / µg	Total loading / µg
0.2	30	1	15	0.73	3.4
2.0	30	0.5	20	2.07	N/A
10	5	0.5	10	13.93	N/A

Table 6 Summary of conditions and results of heparin loading and release
Notes-*the time where released heparin reaches maximum; **the maximum weight of heparin released during a releasing experiment (see maximum point of the release profile, Fig.2)

Fig.2 Effect of loading time on the amount of heparin loaded.

Fig.3 Heparin release profile of a stent loaded with 2 mg/ml heparin for 4 hours.

The results from the heparin study indicate that as expected it can be adsorbed/absorbed onto the polymer 2.1 coated stents and that it is released from the stents over a prolonged period of time.

b) Polymer 2.2 Coated Stents

5 Radiolabelled (^{35}S) heparin (MW 8-10 kDa) was loaded onto stents coated with polymer 2.2, from a 2 mg/ml aqueous solution. To determine the total loading the stents were placed into liquid scintillant and coated for radioactivity. The mean uptake of heparin was 11 μg per stent. The stents were each placed into a volume of PBS to determine the rate of elution of
10 heparin over a 4 hour period. The results, reported in terms of percentage of heparin remaining in the stent, are shown in Figure 18. The results show that heparin is released initially as a burst, probably due to immediate wash off of loosely associated heparin, followed by a slow release phase. Extrapolation of the release data indicates that 100% of the heparin is likely
15 to take place after about 24 hours.

Example 5 - Effect of time, temperature and of oligomer size and cationic content of polymer during loading on loading levels.

The purpose of this study was to examine the feasibility of loading short DNA oligonucleotides onto 15 mm PC coated BiodivYsio Drug Delivery
20 stents and optimize the various parameters that may influence drug loading. We loaded 15 mer and 32-mer ^{32}P radio labelled single stranded DNA oligonucleotides from a 300 μl solution onto PC coated stents (using polymers 2.1, 2.2 and 2.6 described above) using various conditions. We examined the effects of temperature (23, 37, 45, 65°C), exposure time to
25 drug solution (5, 10, 20, 30 minutes), drug activity (concentration) (11-500 $\mu\text{Ci}/300 \mu\text{l}$) and cationic monomer content in the polymer (5, 20 and 35 weight %). Total loading was assessed in a scintillation counter. All loading experiments were successful.

The effect of exposure time on loading levels for the 15 mer loaded at
30 37°C onto the stent coated with polymer 2.1 is shown in Figure 4.

The effect of changing the concentration of 15-mer in the loading solution on loading levels (using polymer 2.1, a coating time of 30 mins and a temperature of 37°C) is shown in Figure 5.

5 The effect of changing the temperature of the drug solution on loading levels (using polymer 2.1, a coating time of 30 mins and a 15 mer concentration of 75 µCi) is shown in Figure 6.

10 The effect of changing the level of cationic monomer in the polymer and of pre-soaking the coating with deionised water for one hour on loading levels (using a coating time of 30 mins and at a temperature of 37°C with drug at an initial concentration of 211 µCi in 300 µl for both 15 mer and 32 mer oligonucleotides) is shown in Figures 7 to 9.

15 Maximal loading was obtained at 37°C (p,0.05), with plateau in total drug loading attained after 10 minutes of stent exposure to the solution. Efficiency of loading was 10% using these parameters. Optimal loading was realised with increasing drug concentration, with 45.8 µCi (3.27 ug DNA) loaded using a 458 µCi (34 µg DNA) solution. Also, increasing CM content of the polymer on the stent to 20% improved maximal loading when compared to other concentrations (p<0.05 vs.0 and 6%).

Example 6 - Elution of oligonucleotides from stents *in vitro*

20 Stents coated with polymers 2.1, 2.2 and 2.6 and loaded with 15 mer or 32 mer ³²P labelled oligomers (37°C, 30 mins, with or without presoak in deionised water, at 211 µCi in 300 µl concentration in the soaking solution), were incubated in either human blood or DMEM cell culture medium at 37°C. Samples of the incubation medium were taken over a period and evaluated
25 for radioactivity. The results were used to calculate the % oligomer remaining on the stent after periods of time.

Figure 10 shows the elution profile for 15 mer from stents coated with polymer 2.1 incubated in blood and cell culture medium.

30 Figure 11 shows the elution profiles for 15 mer and 32 mer from stents coated with the different polymers and for polymer 2.1 presoaked in deionised water, upon incubation with blood.

Example 7 - Release of oligomer *in vivo*

a) Stents (15 mm x 3.5mm) coated with polymer 2.1 were loaded with ³²P labelled 15 mer ATGCCCCTCAACGTG (sequence ID 1) at a total label loading of 12 µCi per stent. A loaded stent was then deployed into the LCX artery of a pig. The pig was sacrificed after 30 mins. A blood sample was taken, the stent retrieved the level of 15 mer determined for the blood, stent, artery wall and balloon. The results are shown in Figure 12.

b) A similar experiment was conducted but using 32 mer at a higher loading, on stent coated with polymer 2.2. Blood samples were taken at zero time, 30 minutes after deployment, 24 hours and at sacrifice at 48 hours. The results are shown in Figure 13.

c) Stents coated with polymer 2.1 and loaded with the same ³²P labelled 32-mer as used in experiment 8c) were mounted on balloon catheters and delivered into a porcine carotid arteries *in vivo*. 2 to 3 animals were used for each time point viz. 0, 3.6 and 72 hours and 8 and 14 days. At the specified time point after delivery the level of ³²P label remaining on the stent and the tissue uptake of the oligonucleotide from the stent into artery and myocardium was measured by scintillation counting.

The results are shown in Figs 15 and 16.

Conclusions

The results of examples 7a) - c) show that oligonucleotides are released *in vivo* into the vessel wall surrounding the stent, with little detected in the tissue adjacent to the vessel in which the stent is implanted. Thus, in example 7c) there was very little radioactivity detected in the myocardium.

The efficiency of delivery is much higher than using a local drug delivery catheter, the infiltrator (TM). Comparative results show that delivering a dose of 1680 µCi ³²P labelled ODN results in the same level of label in the tissue at 3 and 6 hours as a dose of only 100 µG from a stent. Lower doses of these compounds may be used to delivery to target tissue.

Example 8 - Fluorescence Labelled Oligonucleotide**a) Uptake and release on polymer 2.2 coated stent**

Stents coated with polymer 2.2 were loaded with a 15-mer c-myc antisense oligonucleotide AACGTTGAGGGGCAT (sequence ID 2) labelled
5 with a fluorescence label by immersing them for 30 minutes in a 5 mg/ml solution of the DNA in physiological saline.

The total loading was determined by placing 3 of the stents each into 3 ml PBS and sonicating for 30 minutes. The fluorescent label was quantified. The loading was found to be about 20 µg per stent. Release into
10 PBS is shown in Figure 19.

b) Release and uptake in porcine carotid artery.

Polymer 2.1 - coated stents were loaded with the same oligonucleotide used in 8a. The stents were wick dried. Each stent was deployed in a 50 mm long section of porcine carotid artery by mounting on a
15 balloon and inflation of the balloon in the section. The section of artery was then mounted onto cannulae in a chamber filled with culture medium. Further culture medium was caused to flow through a circuit of silicone tubing and thence through the section of artery at a rate of 60 ml min⁻¹. After 1, 6, 12 or 24 hours the stented section was removed from the circuit
20 and the ends of the vessel proximal and distal from the stent removed for qualification of ODN. The stented segment of vessel was gently pulled away from the stent. All three vessel segments were snap frozen in liquid nitrogen, pulverised and incubated one night in lysis buffer. Following sonication and centrifugation the supernatants were measured for
25 fluorescence to determine the concentration of label, presumed to remain associated with ODN. The results are shown in Figure 14. The level of fluorescence label in the circulating liquid was detected at the end of each experiment and found to be below the level of detection of the reader. One of the stented sections of vessel was instead of being analysed for the total
30 fluorescence label, sectioned and observed under confocal microscopy after

ethidium bromide staining. The results show that oligonucleotide costains with ethidium bromide believed to indicate a nuclear location.

Example 9 - Abciximab loading and elution *in vitro*

Abciximab is an antibody (MW about 50 kDa) to glycoprotein IIb/IIIa, administered systemically prior to and following stenting to minimise thrombus formation. Abciximab potentially reacts with the $\alpha V\beta 3$ present on smooth muscle cells and responsible for mediating smooth muscle cell migration, thereby influencing restenosis beneficially. It has an overall anionic charge.

In this study ^{125}I -labelled abciximab was loaded onto stents coated with either polymer 2.2 or as a comparison a bare stent (no polymer) by immersing the stents in a solution of 2 mg/ml drug in phosphate buffered saline containing 0.001% polysorbate 80 to assist dissolution for 30 minutes at room temperature. The stents were removed and wick dried. The stents were then placed into channels each forming part of a separate circuit of recirculating (20 ml/min) phosphate buffered saline containing 1% albumin at 37°C. The level of ^{125}I label remaining on the stent was determined by measuring the level of label in the circulating PBS over a period of 24 or 48 hours and reported in percentage terms. The results are shown in Figure 17. The total loading of drug on stent was also determined and found to be about 5 μg per stent for the stents coated with polymer 2.2.

The results show that the active is released over a more extended time from the cationic polymer than from the bare stent.

Claims

1. An implant having a coating on its external surface comprising:
 - a) a crosslinked, water swellable polymer matrix having a dry thickness of at least 0.1 μm , and
 - 5 b) a pharmaceutically active compoundin which the polymer has pendant zwitterionic groups and pendant cationic groups.
2. An implant according to claim 1 in which the pharmaceutically active compound comprises a nucleic acid.
- 10 3. An implant having a coating on its external surface comprising:
 - a) a crosslinked, biostable polymer matrix and
 - b) a pharmaceutically active compound which is a nucleic acid,in which the polymer has pendant zwitterionic groups and pendant cationic groups.
- 15 4. An implant having a coating on its external surface comprising:
 - a) a cross-linked, biostable polymer and
 - b) a pharmaceutically active compound which is a protein which is anionically charged at physiological pH in which the polymer has pendant zwitterionic groups and pendant cationic groups.
- 20 5. An implant according to claim 4 in which the protein is an antibody or fragment thereof.
6. An implant according to any preceding claim in which the polymer is formed from ethylenically unsaturated monomers including less than 20 mole % cross-linkable monomer.
- 25 7. An implant according to any preceding claim in which the polymer is formed from ethylenically unsaturated monomers including
 - a) a zwitterionic monomer of the formula I

YBX

I

wherein B is a bond or a straight or branched alkylene, alkylene-oxa-alkylene or alkylene-oligooxa-alkylene group, any of which optionally include

30 one or more fluorine substituents;

X is an organic group having a zwitterionic moiety; and

Y is an ethylenically unsaturated polymerisable group;

b) a cationic monomer of the formula II



wherein B^1 is a bond or a straight or branched alkylene, alkylene-oxa-
5 alkylene or alkylene-oligooxa-alkylene group, any of which optionally
includes one or more fluorine substituents;

Y^1 is an ethylenically unsaturated polymerisable group; and

Q is an organic group having a cationic or cationisable moiety and

c) a crosslinkable monomer having the general formula IV:



wherein B^3 is a bond or a straight or branched alkylene, alkylene-oxa-
alkylene or alkylene-oligooxa-alkylene group, any of which optionally
includes one or more fluorine substituents;

Y^3 is an ethylenically unsaturated polymerisable group; and

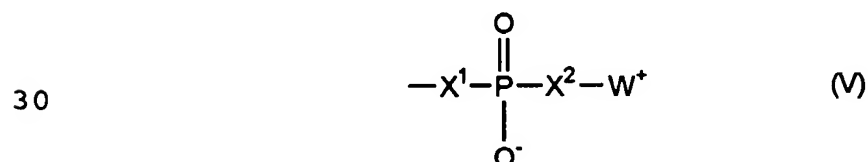
15 Q^3 is an organic group having a reactive group capable of cross-
linking the polymer.

8. An implant according to claim 7 in which Q^3 contains a crosslinkable
cinnamyl, epoxy, $-\text{CHOHCH}_2\text{Hal}$ (in which Hal is a halogen atom), methylol,
or reactive silyl group, an ethylenically unsaturated crosslinkable group,
20 such as an acetylenic, diacetylenic, vinylic or divinyl group, or an
acetoacetoxy or chloroalkyl sulfone, preferably chloroethyl sulphone, group.

9. An implant according to claim 8 in which Q^3 is a group SiR^4_3 in which
each R^4 is a C_{1-4} alkoxy (preferably methoxy) group or a halogen atom.

10. An implant according to claim 9 in which the monomers further include
25 a compound.

11. An implant according to any of claims 7 to 10 in which X is a group of
formula V

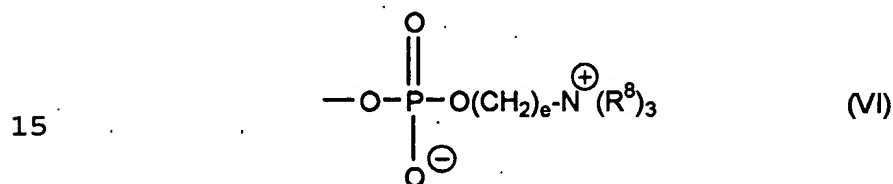


in which the moieties X^1 and X^2 , which are the same or different, are -O-, -S-, -NH- or a valence bond, preferably -O-, and W^+ is a group comprising an ammonium, phosphonium or sulphonium cationic group and a group linking the anionic and cationic moieties which is preferably a C_{1-12} -alkylene group.

5 12. An implant according to claim 11 in which the ethylenically unsaturated groups of all monomers copolymerised together are either the acrylate type or are the styrene type ($CH_2=C(R)C(O)A-$ or $CH=CH-(C_6H_4)K$), and preferably each has the same formula.

13. An implant according to claim 12 in which W^1 is a straight-chain
10 alkylene group, most preferably 1,2-ethylene.

14. An implant according to any of claims 11 to 13 in which X is a group of formula VI



where the groups R^8 are the same or different and each is hydrogen or C_{1-4} alkyl, and e is from 1 to 6, preferably all R^8 's being the same, more preferably CH_3 , and C preferably being 2 or 3.

20 15. An implant according to any of claims 7 to 14 in which Q^1 is a group $N^+R^5_3$, $P^+R^5_3$ or $S^+R^5_2$

in which the groups R^5 are the same or different and are each hydrogen, C_{1-4} -alkyl or aryl (preferably phenyl) or two of the groups R^5 together with the heteroatom to which they are attached from a saturated or
25 unsaturated heterocyclic ring containing from 5 to 7 atoms.

16. An implant according to any of claims 6 to 15 in which the monomers further include a termonomer of the formula III



wherein B^2 is a bond or a straight or branched alkylene, alkylene-oxa-
30 alkylene or alkylene-oligooxa-alkylene group, any of which may optionally include one or more fluorine substituents;

Y^2 is an ethylenically unsaturated polymerisable group; and

Q^2 is an organic group having a hydrophobic group selected from alkyl groups having at least six carbon atoms, fluorine substituted alkyl groups and alkyl groups having at least one siloxane substituent.

17. An implant according to any of claims 7 to 16 in which Y, Y^1 , Y^2 , Y^3 and/or Y^3 , as the case may be are independently selected from $CH=CH-(C_6H_4)-K-$, $CH_2=C(R)C(O)-A-$, $CH_2=C(R)-CH_2-O-$, $CH_2=C(R)-CH_2OC(O)-$, $CH_2=C(R)OC(O)-$, $CH_2=C(R)O-$, and $CH_2=C(R)CH_2OC(O)N(R^1)-$ wherein:

R is hydrogen or a C_1-C_4 alkyl group;

A is $-O-$ or $-NR^1-$ where R^1 is hydrogen or a C_1-C_4 alkyl group or R^1 is $-B-X$, B^1Q^1 , B^2Q^2 or B^3Q^3 , as the case may be, where B, B^1 , B^2 , B^3 , Q^1 , Q^2 and Q^3 and X are as defined above in the respective one of the formula I to IV and

K is a group $-(CH_2)_pOC(O)-$, $-(CH_2)_pC(O)O-$, $-(CH_2)_pOC(O)O-$, $-(CH_2)_pNR^2-$, $-(CH_2)_pNR^2C(O)-$, $-(CH_2)_pC(O)NR^2-$, $-(CH_2)_pNR^2C(O)O-$, $-(CH_2)_pOC(O)NR^2-$, $-(CH_2)_pNR^2C(O)NR^2-$, (in which the groups R^2 are the same or different) $-(CH_2)_pO-$, $-(CH_2)_pSO_3-$, or, optionally in combination with B, a valence bond and p is from 1 to 12 and R^2 is hydrogen or a C_1-C_4 alkyl group.

18. An implant according to claim 17 in which the ethylenically unsaturated groups of all monomers copolymerised together are either the acrylate type or are the styrene type $CH_2=C(R)C(O)A-$ or $CH=CH-(C_6H_4)-K-$, and, preferably each has the same formula, more preferably the formula $CH_2=C(R)C(O)A$ in which R is H or CH_3 and A is $-NH$ or $-O-$; most preferably in which A is $-O-$.

19. An implant stent according to any preceding claim in which the polymer matrix has a dry thickness of at least $0.5\mu m$, preferably at least $1\mu m$.

20. An implant according to claim 2 or claim 3 in which the nucleic acid is DNA or RNA, and may be linear or circular, single or double stranded.

21. An implant according to claim 1, claim 3 or claim 4 in which the pharmaceutically active compound has a molecular weight higher than 1kD, preferably higher than 1.2kD.

22. An implant according to any preceding claim in which the polymer is
5 formed from ethylenically unsaturated zwitterionic monomer and ethylenically unsaturated cationic monomer and in which the ratio of zwitterionic to cationic monomer used to form the polymer is in the range 1:100 to 100:1, preferably 1:10 to 10:1, more preferably 1:2 to 2:1.

23. An implant according to any preceding claim which is a stent.

10 24. A method of producing an implant according to any preceding claim in which an empty implant, having an empty (of pharmaceutical active) coating of cross-linked water-swellaible polymer matrix on its external surface is contacted with a solution or dispersion of a pharmaceutical active in a solvent whereby pharmaceutical active or nucleic acid is absorbed into or
15 adsorbed onto the polymer matrix.

25. A method according to claim 24 in which the solvent is capable of swelling partially the polymer matrix and swells it in the method.

26. A method according to claim 24 or 25 in which the polymer matrix is substantially free of solvent when it is contacted with the said solution or
20 dispersion.

27. A method according to claim 24 or 25 in which the polymer matrix has been preswollen with a swelling liquid when it is contacted with the said solution or dispersion.

28. A method according to any of claims 24 to 27 in which the said
25 solution or dispersion is aqueous.

29. A method according to any of claims 24 to 27 in which the said solution or disposition comprises organic solvent, and the method includes a drying step in which the organic solvent is removed from the treated implant, preferably by evaporation.

30 30. A method according to any of claims 24 to 29 in which the implant is contacted with the said solution or dispersion by dipping it into a volume of the solution or dispersion.

31. A method according to claim 30 in which the implant is a stent.
32. A method according to claim 31 in which the stent is mounted on a delivery device, preferably a catheter.
33. A method according to any of claims 24 to 32 in which the contact
5 time of solution or dispersion and implant is at least 30s, preferably at least 2 minutes, more preferably at least 5 minutes.
34. A method according to any of claims 24 to 33 in which the said solution or dispersion is at a temperature in the range of 0 to 60°C, preferably 20 to 40°C, more preferably about 37°C.
- 10 35. A method according to any of claims 24 to 34 including the preliminary step of coating an implant with a cross-linkable polymer and cross-linking the polymer.
36. A method in which an implant according to any of claims 1 to 23 is placed in an environment comprising a liquid medium, whereby the
15 pharmaceutical active is released into the liquid medium.
37. A method according to claim 36 in which the environment is *in vivo* in the body of a human or animal, preferably in a human, most preferably a blood vessel.
38. A method according to claim 36 in which the environment is an *in vitro*
20 test method and the implant is not subsequently delivered to a human or animal.

1/12

Figure 1

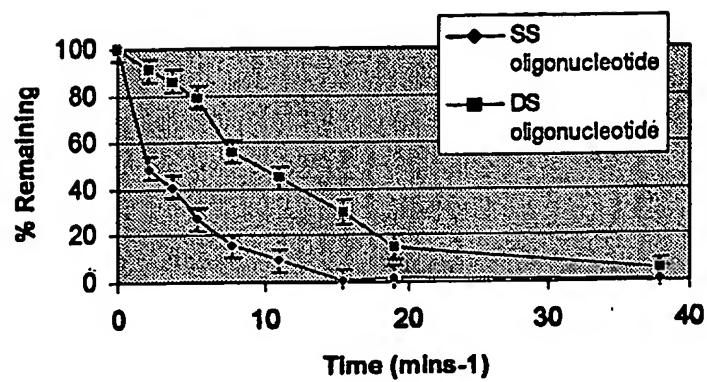
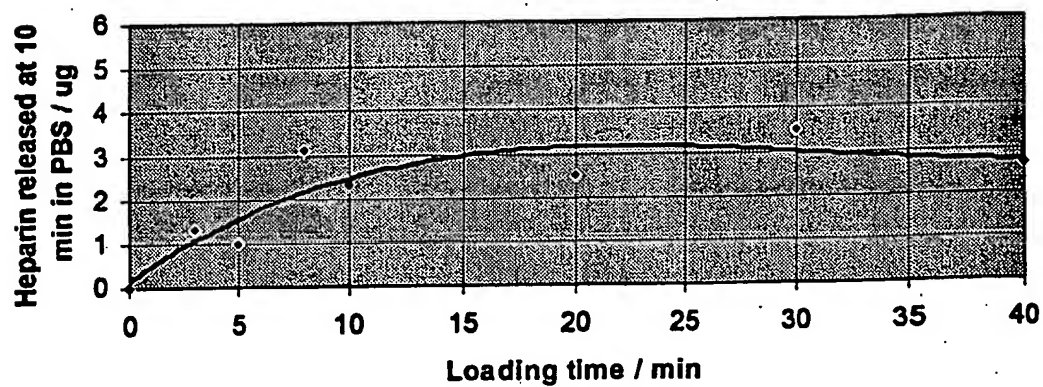
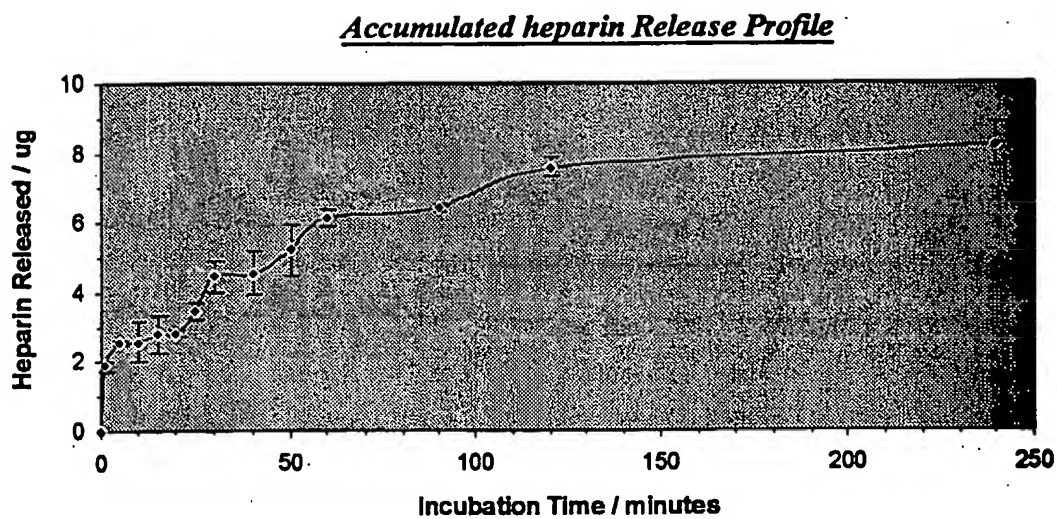
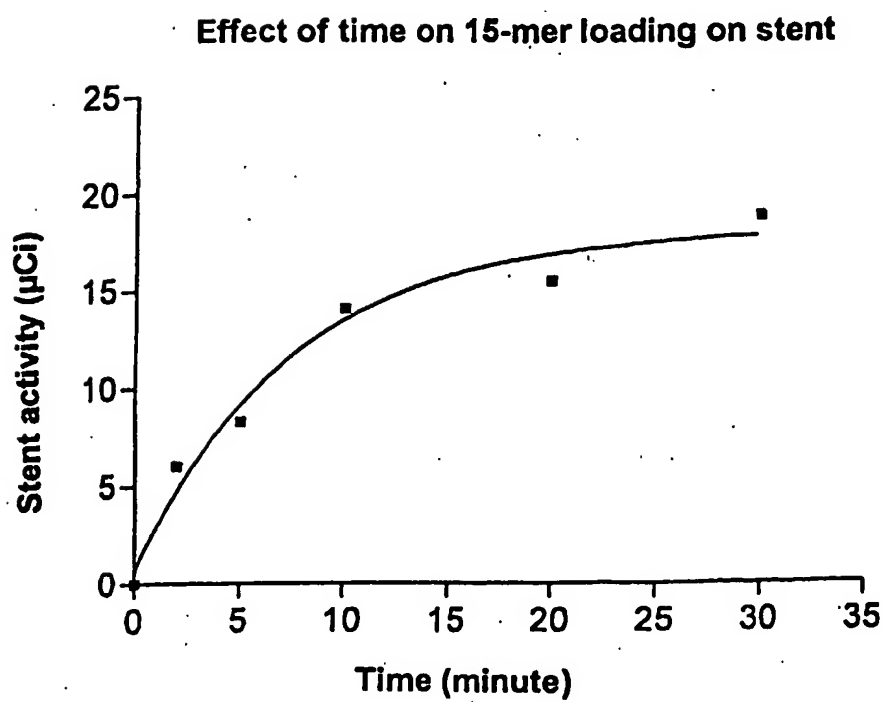


Figure 2

Loading time effect



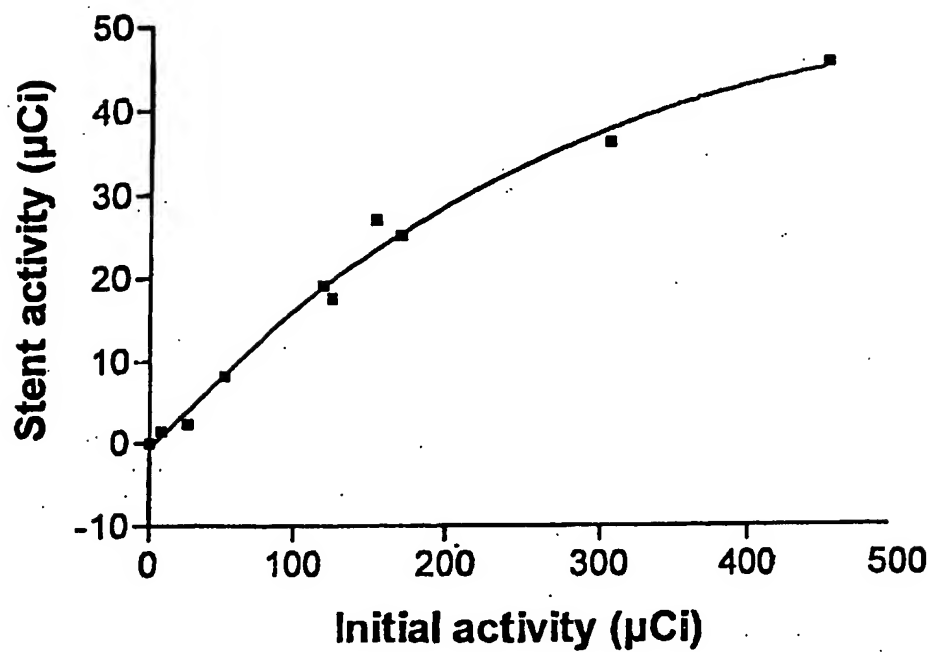
2/12

Figure 3**Figure 4**

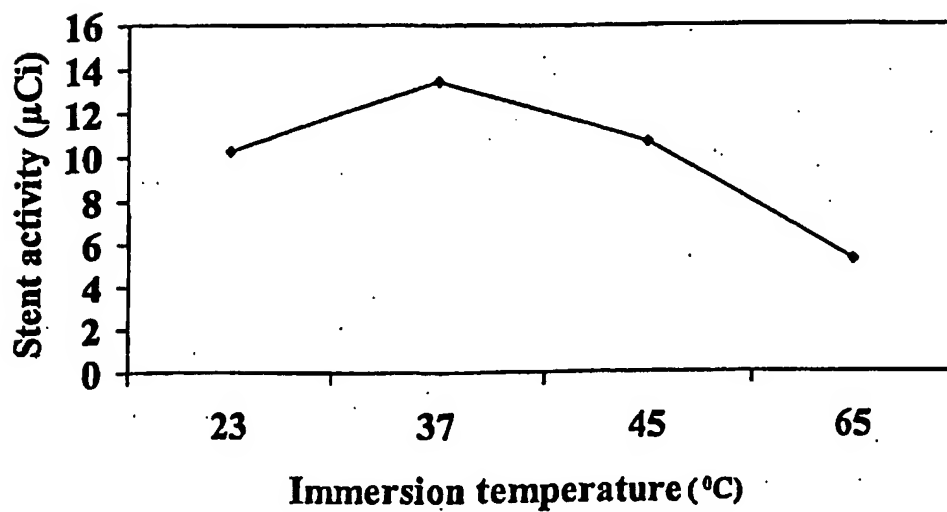
3/12

Figure 5

**Effect of oligomer concentration
(initial activity) on stent loading**

**Figure 6**

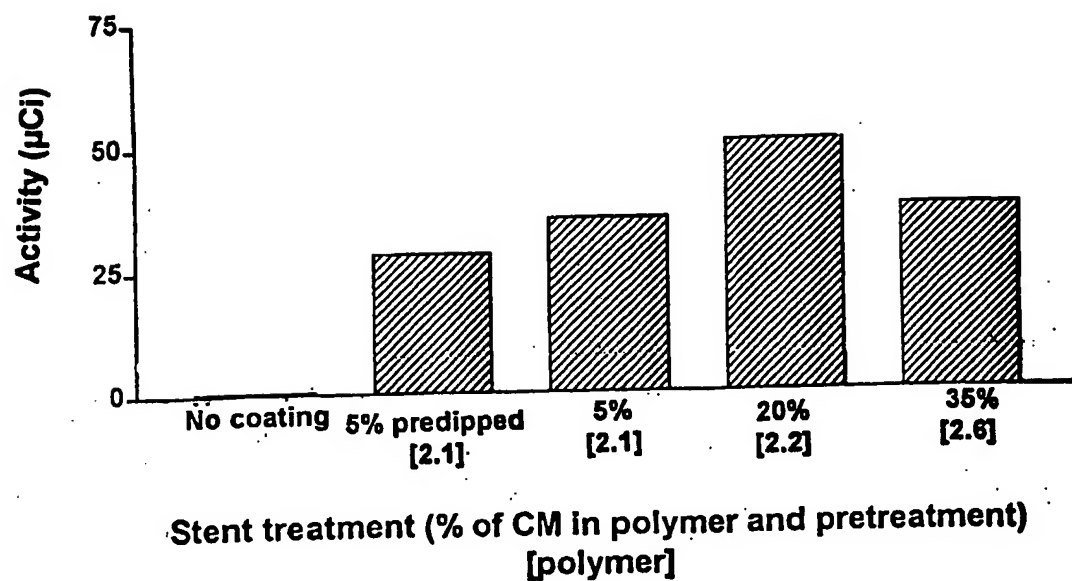
Effect of temperature of 15-mer loading



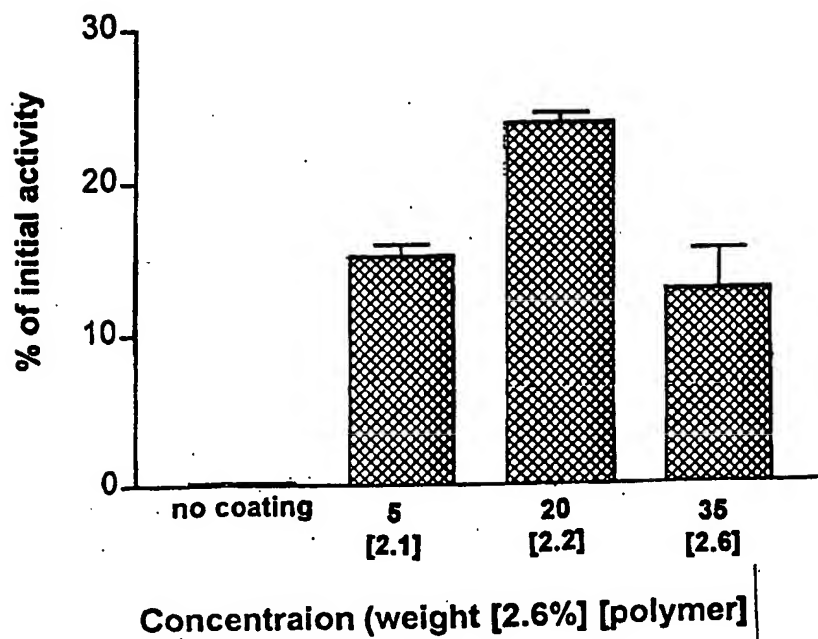
4/12

Figure 7

Loading of 15 mer (initial activity: 211 μ Ci) on PC coated Biodyvisio stents

**Figure 8**

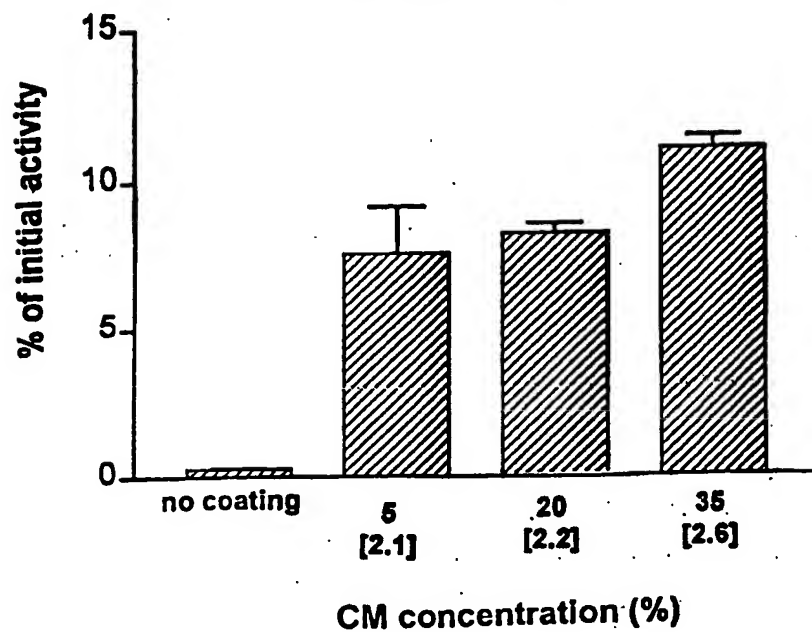
Yields of loading for the 32P-15 mers onto the PC Biodivysio stents



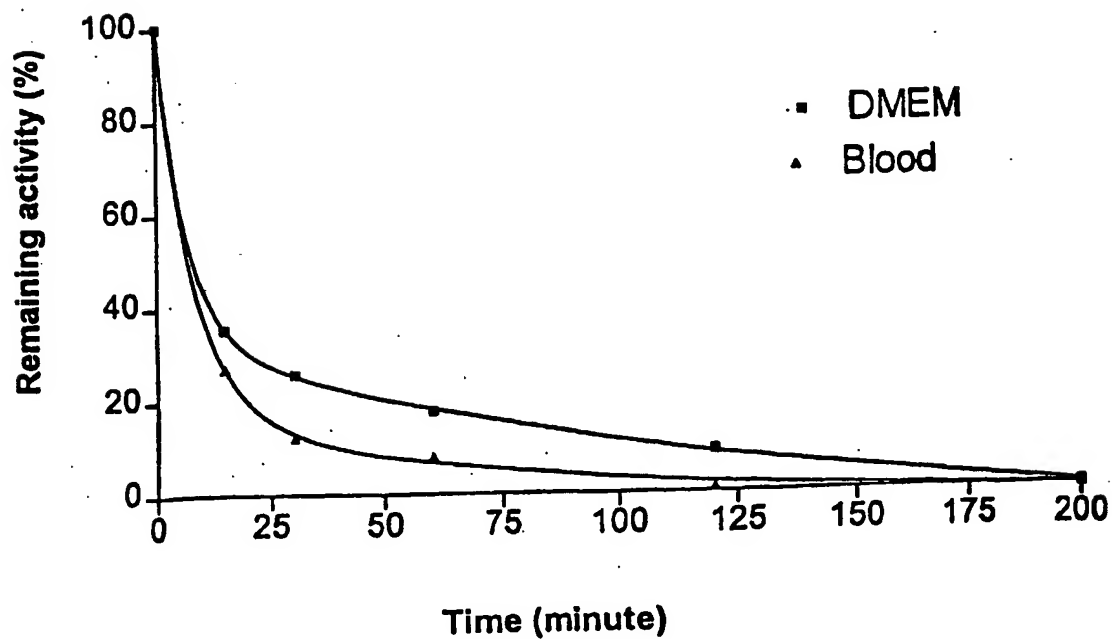
5/12

Figure 9

**Yields of loading for the ^{32}P -32mers onto the
PC Blodivyslo stents**

**Figure 10**

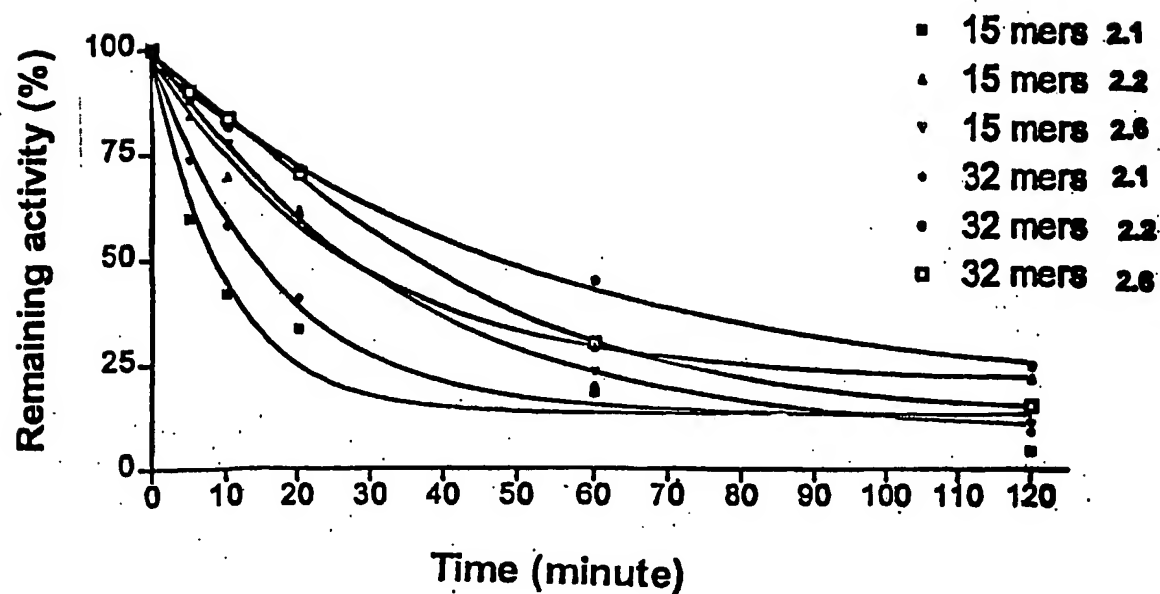
Elution profile of 15 mer loaded PC stents



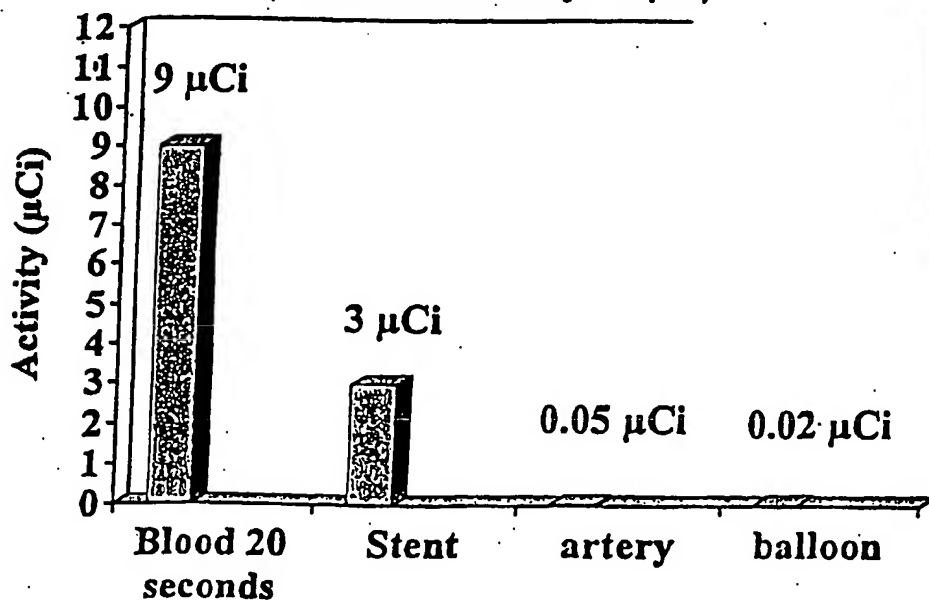
6/12

Figure 11

Elution of ^{32}P labelled oligonucleotides (15 and 32 mers) loaded on PC Biodyvisio stents after incubation in blood at 37°C.

**Figure 12**

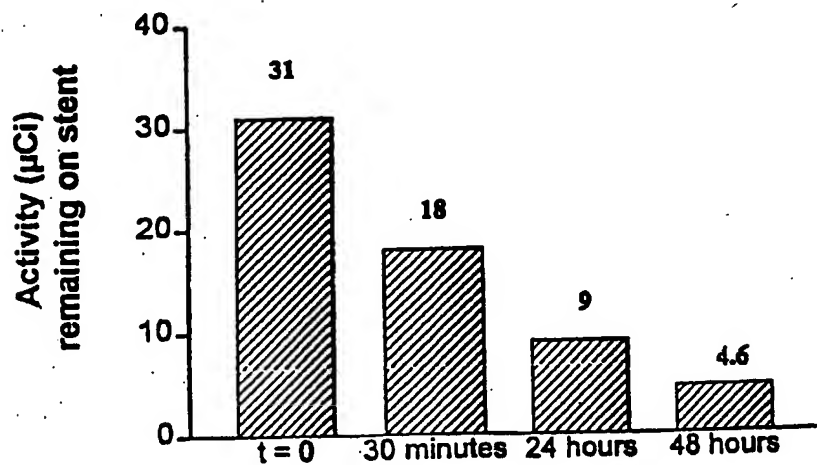
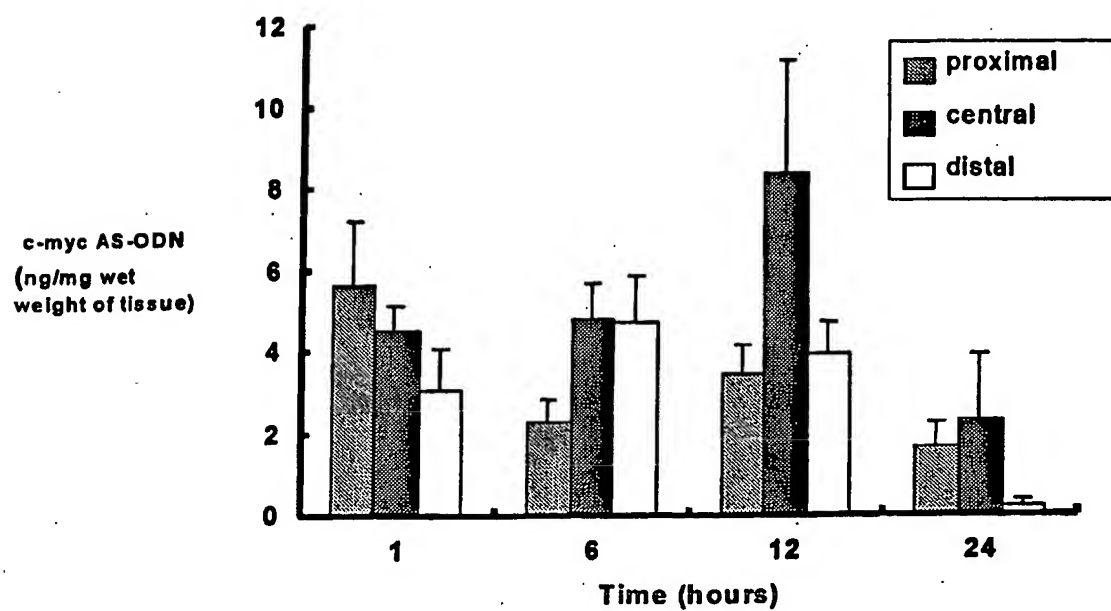
Remaining activities (μCi) 30 minutes after 15 mer loaded PC stent (15 x 3.5 mm) deployment in the pig's LCX artery (initial stent activity: 12 μCi)



7/12

Figure 13

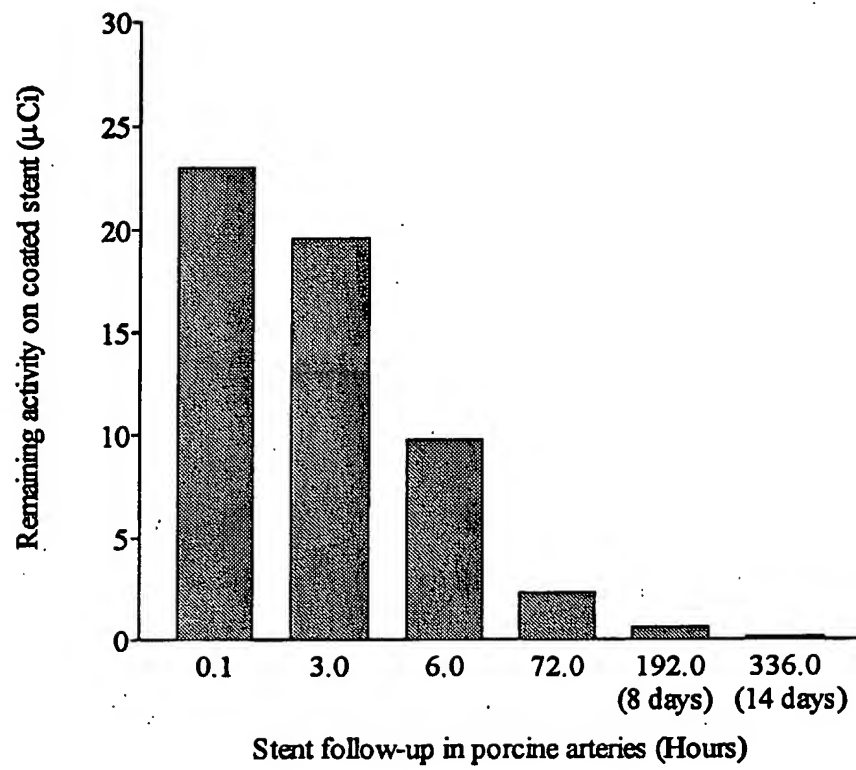
**Elution of ^{23}P labeled 32 mers from polymer 2.2 coated
Biodyvisio PC stent deployed in pig's LAD artery**

**Figure 14**

8/12

Figure 15

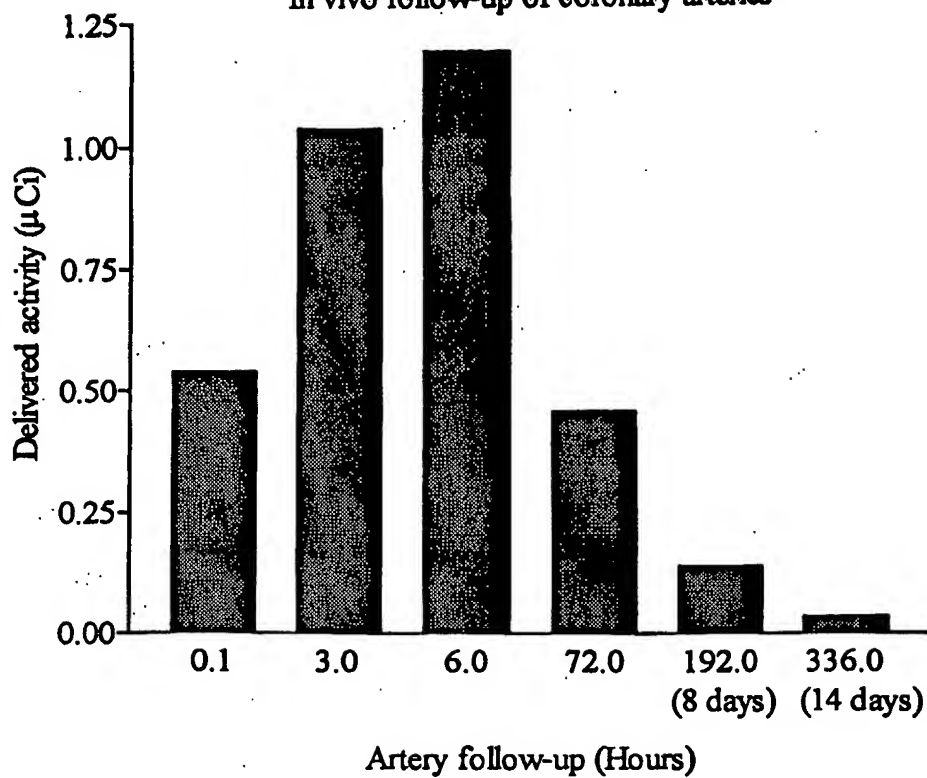
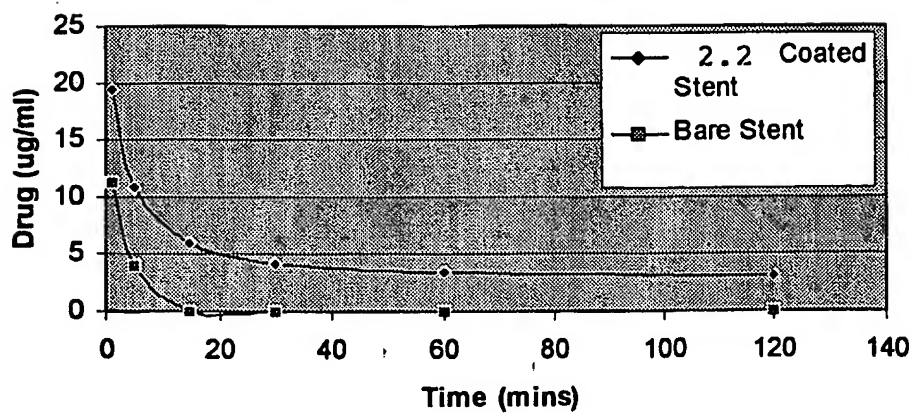
In vivo follow-up of coated stents



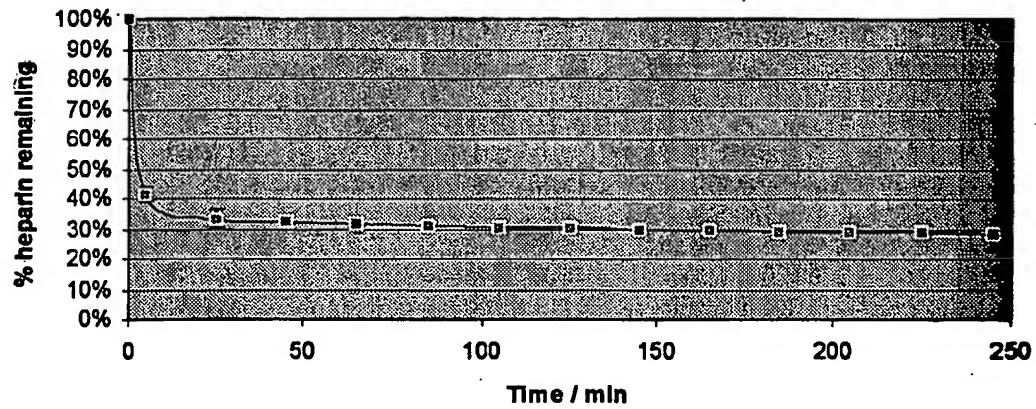
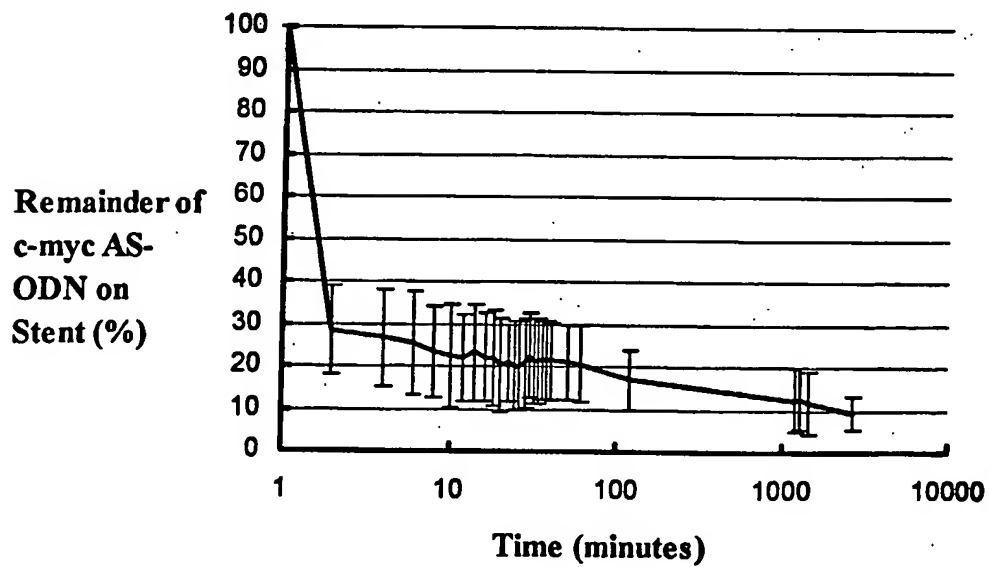
9/12

Figure 16

In vivo follow-up of coronary arteries

**Figure 17**

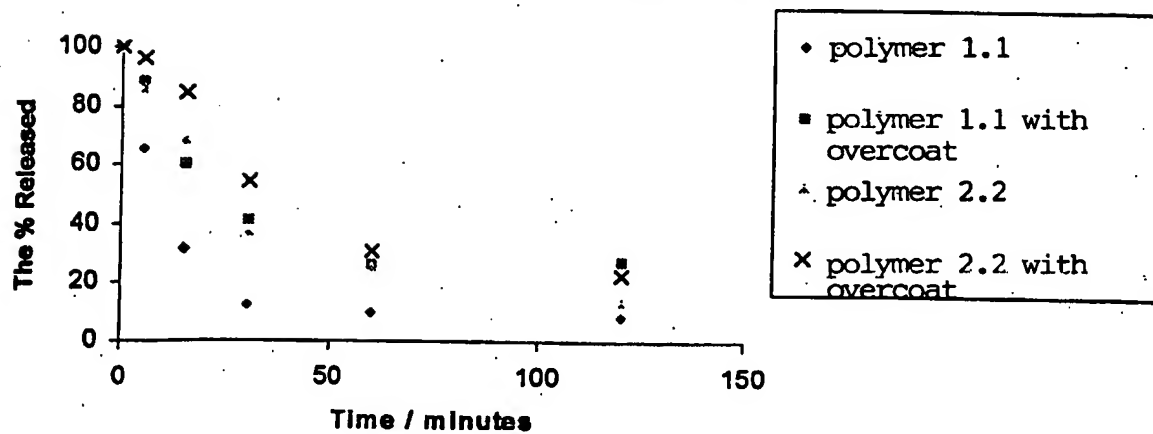
10/12

Figure 18**Radioactive heparin release profile (2.2)****Figure 19**

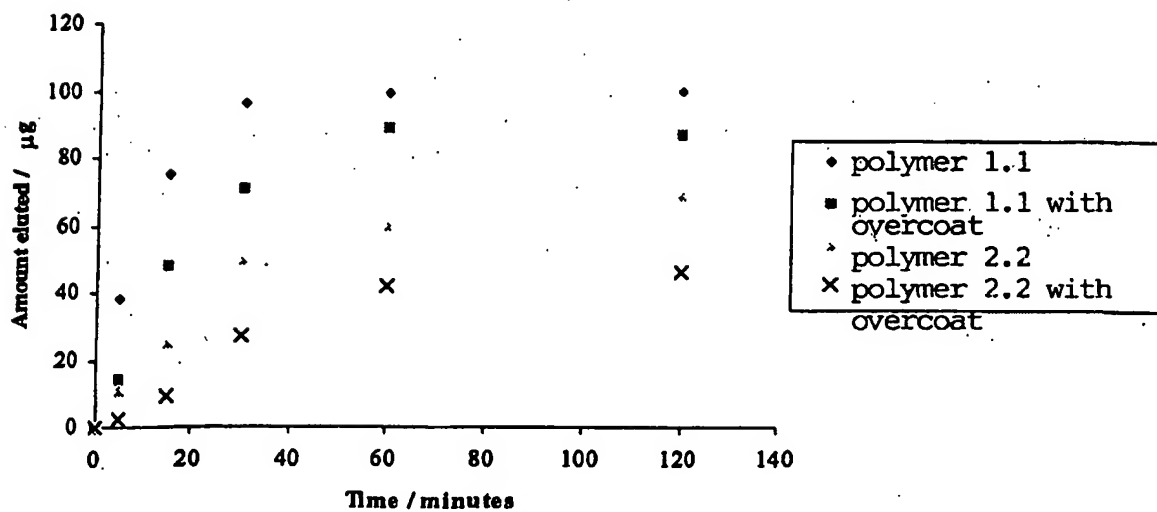
11/12

Figure 20

Comparison of the release rates of DNA-plasmid from various coating systems

**Figure 21**

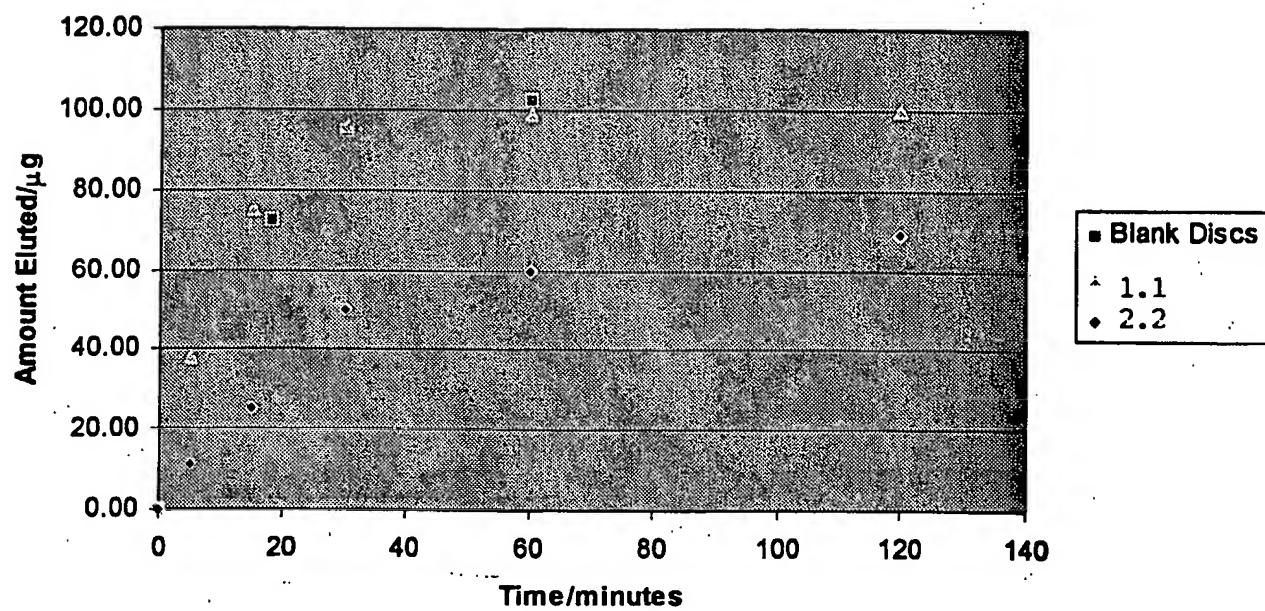
The amount of DNA-plasmid eluted from various coatings



12/12

Figure 22

The Elution of DNA-plasmid from various coatings on steel coupons



A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61L27/34 A61L27/54 A61L31/10 A61L31/04 A61L31/16		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 A61L		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, PAJ		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 22162 A (STORCH JOACHIM ;BIOCOMPATIBLES LTD (GB); FREEMAN RICHARD NEIL TEMP) 28 May 1998 (1998-05-28) claims ---	1,3, 6-18, 22-38
Y	EP 0 861 858 A (BIOCOMPATIBLES LTD) 2 September 1998 (1998-09-02) example 16 claims ---	1-3,6-38
Y	WO 98 15575 A (ARCH DEV CORP ;SOLWAY JULIAN (US); PARMACEK MICHAEL S (US)) 16 April 1998 (1998-04-16) cited in the application claims --- <div style="text-align: center;">-/--</div>	1-3,6-38
<div style="display: flex; justify-content: space-between;"> <input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex. </div>		
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>* Special categories of cited documents :</p> <p>*A* document defining the general state of the art which is not considered to be of particular relevance</p> <p>*E* earlier document but published on or after the international filing date</p> <p>*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>*O* document referring to an oral disclosure, use, exhibition or other means</p> <p>*P* document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>*Z* document member of the same patent family</p> </div> </div>		
Date of the actual completion of the international search <div style="text-align: center; font-weight: bold;">20 June 2001</div>		Date of mailing of the international search report <div style="text-align: center; font-weight: bold;">04/07/2001</div>
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer <div style="text-align: center; font-weight: bold;">Thornton, S</div>

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 94 15646 A (SHI YI ;UNIV JEFFERSON (US); ZALEWSKI ANDREW (US)) 21 July 1994 (1994-07-21) page 14, last line -page 15, line 2 page 17, line 38 -page 18, line 9 example 2 ----	1-3,21, 22, 27-29, 33-35
A	US 5 965 720 A (GRYAZNOV SERGEI M ET AL) 12 October 1999 (1999-10-12) column 22, line 52 - last line claims 1,4,5,8-10 ----	1-3,21, 22, 27-29, 33-35
A	DE 197 13 214 A (RUEBBEN ALEXANDER DR MED) 10 December 1998 (1998-12-10) claims ----	1,4,5,23
A	WO 98 36784 A (COOK INC) 27 August 1998 (1998-08-27) page 17, line 5 -page 19, line 18 claims -----	1,4,5, 23-34, 36-38

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.1

Although claim 37 is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the composition.

Continuation of Box I.1

Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9822162 A	28-05-1998	AT 189899 T	15-03-2000
		AU 728887 B	18-01-2001
		AU 5060898 A	10-06-1998
		AU 5061098 A	10-06-1998
		AU 5061198 A	10-06-1998
		DE 69701319 D	30-03-2000
		DE 69701319 T	13-07-2000
		EP 0866815 A	30-09-1998
		EP 0939779 A	08-09-1999
		ES 2143301 T	01-05-2000
		WO 9822516 A	28-05-1998
		WO 9822517 A	28-05-1998
		ZA 9710466 A	20-11-1998
		ZA 9710467 A	20-11-1998
		ZA 9710468 A	20-11-1998
EP 0861858 A	02-09-1998	EP 0818479 A	14-01-1998
		EP 0810239 A	03-12-1997
		AT 190988 T	15-04-2000
		AU 666485 B	15-02-1996
		DE 69230823 D	27-04-2000
		DE 69230823 T	27-07-2000
		DK 593561 T	21-08-2000
		EP 0593561 A	27-04-1994
		JP 3030086 B	10-04-2000
		JP 7502053 T	02-03-1995
		US 6090901 A	18-07-2000
		US 5648442 A	15-07-1997
		US 5783650 A	21-07-1998
		AT 196303 T	15-09-2000
		AU 2231092 A	11-02-1993
		AU 7993298 A	08-10-1998
		CA 2112411 A	21-01-1993
		DE 69231450 D	19-10-2000
		DE 69231450 T	18-01-2001
		DK 818479 T	15-01-2001
		ES 2144419 T	16-06-2000
		ES 2150722 T	01-12-2000
		WO 9301221 A	21-01-1993
		JP 11166015 A	22-06-1999
		JP 11166018 A	22-06-1999
		JP 11166150 A	22-06-1999
		JP 2000226550 A	15-08-2000
		US 6225431 B	01-05-2001
		US 5739236 A	14-04-1998
		US 5705583 A	06-01-1998
		AT 196482 T	15-10-2000
		AU 7993198 A	08-10-1998
		DE 69231476 D	26-10-2000
		DE 69231476 T	25-01-2001
		DK 810239 T	02-01-2001
		ES 2150723 T	01-12-2000
WO 9815575 A	16-04-1998	US 6090618 A	18-07-2000
		AU 4415097 A	05-05-1998
		EP 0941245 A	15-09-1999
		JP 2001502899 T	06-03-2001
		US 6114311 A	05-09-2000

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9415646	A	21-07-1994	AU 685080 B	15-01-1998
			AU 6023594 A	15-08-1994
			BR 9405707 A	06-08-1996
			CA 2153158 A	21-07-1994
			CN 1119830 A	03-04-1996
			CZ 9501723 A	13-03-1996
			EP 0690726 A	10-01-1996
			HU 71937 A	28-02-1996
			JP 8505397 T	11-06-1996
			US 6159946 A	12-12-2000
<hr/>				
US 5965720	A	12-10-1999	US 5599922 A	04-02-1997
			AU 704549 B	29-04-1999
			AU 2190095 A	09-10-1995
			CZ 9602745 A	12-03-1997
			EP 0754242 A	22-01-1997
			FI 963581 A	08-11-1996
			HU 76094 A	30-06-1997
			JP 9510714 T	28-10-1997
			NO 963891 A	01-11-1996
			PL 316434 A	06-01-1997
			WO 9525814 A	28-09-1995
			US 5631135 A	20-05-1997
			US 5837835 A	17-11-1998
			US 5591607 A	07-01-1997
			US 6169170 B	02-01-2001
			US 5726297 A	10-03-1998
<hr/>				
DE 19713214	A	10-12-1998	NONE	
<hr/>				
WO 9836784	A	27-08-1998	AU 6663298 A	09-09-1998
			EP 0968013 A	05-01-2000
<hr/>				

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.